

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
INFORMATION DISCLOSURE STATEMENT

APPLICANT: Shiro Uchida, et al. **ATTY DOCKET NO.:** 9792909-5918
SERIAL NO.: not yet assigned **GROUP ART UNIT:** not yet assigned
DATE FILED: April 9, 2004 **EXAMINER:** not yet assigned
INVENTION: "SEMICONDUCTOR LASER DEVICE AND FABRICATION METHOD THEREOF"

which is a Divisional of the following copending U.S. Application:

APPLICANT: Shiro Uchida, et al. **ATTY DOCKET NO.:** 9792909-5366
SERIAL NO.: 10/115,314 **GROUP ART UNIT:** 2828
DATE FILED: April 3, 2002 **EXAMINER:** J. Zahn
INVENTION: "SEMICONDUCTOR LASER DEVICE AND FABRICATION METHOD THEREOF"

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

S I R:

In accordance with the provisions of 37 C.F.R. § 1.56, Applicants request that citation and examination of the references identified on the attached PTO-1449 form, copies of which are enclosed herewith in accordance with 37 C.F.R. § 1.98, be made during the course of examination of the above-referenced application for United States Letters Patent.

I. **SUBMITTED UNITED STATES PATENT REFERENCES**

<u>Reference</u>	<u>Patent Number</u>	<u>Inventor</u>	<u>Issue Date</u>
AA	6,414,976	S. Hirata	July 2, 2002
AB	6,516,016	T. Fukunaga, et al.	February 4, 2003
AC	6,452,954	T. Fukunaga	September 17, 2002
AD	2003/0147438	J. Abeles	August 7, 2003
AE	2003/0012243	T. Okumura	January 16, 2003

II. SUBMITTED FOREIGN PATENT REFERENCES

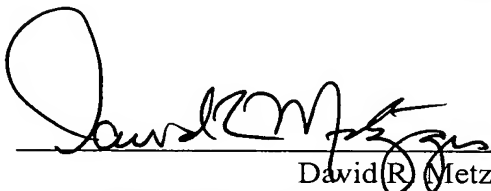
<u>Reference</u>	<u>Patent Number</u>	<u>Country</u>	<u>Date of Publication</u>
AH	JP2000-196201	Japan	July 14, 2000
AI	JP2000-31599	Japan	January 28, 2000
AJ	JP11-220210	Japan	August 10, 1999

III. OTHER ITEMS OF INFORMATION

IV. EXPLANATION OF RELEVANCE

The above references were made of record in parent application U.S. Serial No. 10/115,314.

Submitted by,

 (Reg. 32,919)
David R. Metzger

SONNENSCHN NATH & ROSENTHAL LLP

P.O. Box 061080

Wacker Drive Station - Sears Tower

Chicago, Illinois 60606-1080

Telephone: 312/876-8000

Attorneys for Applicant(s)

Customer No. 26263

37 CFR 1.501 INFORMATION DISCLOSURE STATEMENT IN A PATENT (use several sheets if necessary)					Docket No. 09792909-5901		Serial No.	
					Applicants: Yutaka Wada, et al.			
					Filing Date April 9, 2004		Group Art Unit	
U.S. PATENT DOCUMENTS								
Examiner's Initials		Document Number	Date	Name	Class	Subclass	Filing Date If appropriate	
	AA							
	AB							
	AC							
	AD							
	AE							
	AF							
	AG							
FOREIGN PATENT DOCUMENTS								
		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	AH	JP10-021596	1-23-98	Japan			Abstract	
	AI	JP10-011801	1-16-98	Japan			Abstract	
	AJ	JP07-244877	9-19-95	Japan			Abstract	
	AK	JP10-293949	11-4-98	Japan			Abstract	
	AL	JP08-124220	5-17-96	Japan			Abstract	
	AM							
	AN							
	AO							
	AP							
	AQ							
	AR							
	AS							
	AT							
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)								
	AU							
	AV							
	AW							
	AX							
	AY							
	AZ							
Examiner			Date Considered					
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								